

# Attachment 15

## TRICARE Retail Pharmacy Surveillance Plan

**Solicitation No. MDA906-03-R-0002**

**Contract No. MDA906-03- -**

Date: (date)

Reference: FAR Part 42, DFARS Part 242, TAM Part 42

1. The purpose of this plan is to document planned TMA Contract Management surveillance activities during performance of the contract.
2. Services to be provided are in support of the TRICARE Retail Pharmacy (TRRx) program, a system for the acquisition, delivery, and distribution of prescriptions by the Department of Defense (DoD) on behalf of its beneficiaries through a Government office and a contracted Pharmacy Benefits Manager (PBM) with a retail pharmacy network.

Award date: (date)

Contract Phase-In: Award date through (date)

Performance through Option Period 5 ends: (date)

Phase-In: \$

Option Period 1 through 5: \$

Contract type: Requirements

3. Upon contract award an initial risk assessment will be performed to identify and analyze program areas and key process risks to provide an indication of the likelihood of meeting performance and schedule objectives and the consequences if these objectives are not met. The risk assessment process includes identifying risk events, assigning likelihood and consequence ratings to each event, and prioritizing rated events against each other. The results of the risk assessment will be used to determine those program areas and processes to be monitored, the method and frequency of results measurement, assign responsibilities for surveillance activities, and define processes for communicating surveillance results to the Contracting Officer and contractor. The contractor will be invited and encouraged to participate in the risk assessment process. It is anticipated that surveillance activities may be adjusted throughout the performance of the contract to focus resources on those areas of inherent and demonstrated risk representing potential unacceptable outcomes. While the risk assessment is best conducted as a collaborative effort between the government and the contractor, the Contracting Officer reserves the right to determine the subject and frequency of surveillance activity.
4. The following table will be considered in conducting the initial risk assessment and surveillance design. Additional areas to be considered may be identified by the government and/or contractor during the risk assessment process.

<b>Requirement</b>	<b>Reference</b>	<b>Frequency of Review</b>	<b>Responsibility &amp; Method of Review</b>
<b>General – TPM 6010.54 compliance</b>	<b>C.1.</b>		
<b>TRICARE Pharmacy Information Card</b>	<b>C.4.</b>		
<b>TRRx Network</b>	<b>C.5.</b>		
<b>TRRx Network Agreements</b>	<b>C.6.</b>		
<b>TRRx Network Access Standards</b>	<b>C.7.</b>		
<b>Claims Processing</b>	<b>C.8.</b>		
<b>TRRx Claims Processing Standards</b>	<b>C.8.8.</b>		
<b>Other Health Insurance</b>	<b>C.9.</b>		
<b>Databases</b>	<b>C.10.</b>		
<b>Prior Authorizations</b>	<b>C.11.</b>		
<b>Medical Necessity Determination</b>	<b>C.12.</b>		
<b>Clinical Services Reporting Requirements</b>	<b>C.13.</b>		
<b>Information Technology</b>	<b>C.14.</b>		
<b>Marketing and Education</b>	<b>C.15.</b>		
<b>Contractor Management</b>	<b>C.16.</b>		
<b>Contractor Plan Compliance</b>	<b>C.16.3.</b>		
<b>Legal Matters</b>	<b>C.17.</b>		
<b>Records Management</b>	<b>C.18.</b>		
<b>Beneficiary Services</b>	<b>C.19.</b>		
<b>Contract Phase-In</b>	<b>C.20.</b>		
<b>Contract Phase-Out</b>	<b>C.21.</b>		
<b>Required Reports</b>	<b>F.</b>		
<b>Administration and Payment</b>	<b>G.</b>		
<b>Financial Condition</b>	<b>FAR 9.104-1</b>		
<b>Small Business Plan</b>	<b>FAR 52.219-9</b>		
<b>Cost Accounting Standard Disclosure Statement</b>	<b>FAR 52.230-2</b>		